PATENTS SHEM-107



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Sylvan R. Shemitz et al.

Application No. : 10/723,447

Confirmation No. : 5967

Filed: November 24, 2003

For : LUMINAIRE HEAT SINK

Group Art Unit : 2841

New York, New York 10020

May 4, 2004

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Hon. Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure

Statement in the above-identified application. This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, please charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Garry J. Tuma

Registration No. 40,210 Attorney for Applicants

FISH & NEAVE Customer No. 1473 1251 Avenue of the Americas New York, New York 10020-1104 (212) 596-9000

I hereby certify that this Correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope Addressed to:
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Signature of Person Signing

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

ATTY. DOCKET NO.	APPLICATION NO.
SHEM-107	10/723,447
APPLICANTS S.R. Shemitz et al.	CONFIRMATION NO. 5967
FILING DATE	GROUP
November 24, 2003	2841

U.S. PATENT DOCUMENTS

	,		U.S. PATENT DUCUM	ENIS		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,600,857	07/15/86	Suhar			
	4,773,723	09/27/88	Cuda			
	5,204,578	04/20/93	Dever et al.			
	5,329,436	07/12/94	Chiu			
	Des 377,104	12/31/96	Roberts			
	5,873,650	02/23/99	Luk et al.			<u> </u>
	6,400,067	06/04/02	Manning et al.			
	6,406,163	06/18/02	Yang			
	D 473,529	04/22/03	Feinbloom			
	6,639,800	10/28/03	Eyman et al.			

FOREIGN DOCUMENTS

EXAMINER	DOCUMENT	DATE	COLINTDY	CLACC	SUBCLASS	TRANSLATION	
INITIAL	NUMBER	DATE	COUNTRY	CLASS		YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		,	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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New York, New York 10020 May 4, 2004

Mail Stop MISSING PARTS Hon. Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

	U.S. PATENTS	,
Suhar	4,600,857	July 15, 1986
Cuda	4,773,723	September 27, 1988
Dever et al.	5,204,578	April 20, 1993
Chiu	5,329,436	July 12, 1994
Roberts	Des. 377,104	December 31, 1996
Luk et al.	5,873,650	February 23, 1999
Manning et al.	6,400,067	June 4, 2002
Yang	6,406,163	June 18, 2002

Feinbloom

Eyman et al.

D 473,529 6,639,800 April 22, 2003 October 28, 2003

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be
(1) fully considered by the Patent and Trademark Office during
examination of this application; and (2) printed on any patent
which may issue on this application. Applicants request that a
copy of Form PTO-1449, as considered and initialed by the
Examiner, be returned with the next communication.

Respectfully submitted,

Garry J. Tuma

Registration No. 40,210 Attorney for Applicants

FISH & NEAVE Customer No. 1473 1251 Avenue of the Americas New York, New York 10020-1104 (212) 596-9000

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